



Developing and Working with
Survival Data

NAACCR 2010-2011 Webinar Series



Questions





Fabulous Prizes!

Question of the Month!

- The participant that submits the best question of the session will receive a fabulous Prize!


Tip of the Month!

- The participant that sends in the best tip related to the topic will win a spectacular prize!



Agenda


- Overview
- Need for death clearance
- Survival and NDI
- Data quality
- Break
- SEER*Prep; SEER Stat
- Survival issues:
 - NAACCR 2011
 - Closing remarks



Survival statistics, and surviving statistics! An overview and update about cancer survival rates June 2, 2011

Dr. Donna Turner, Epidemiologist
Provincial Director, Population Oncology
Cancer Care Manitoba


Dr Hannah Weir, Epidemiologist
Division of Cancer Prevention and Control
Centers for Disease Prevention and Control



The findings and conclusions in this presentation are those of the presenter and do not necessarily represent the official position of the Centers for Disease Control and Prevention.


Overview

- The evolution of population-based cancer survival
- EURO CARE and CONCORD studies
- Cancer Control in the UK and Canada
- Useful websites



Clinical vs. Population-based Survival

- Clinical trials - highest **achievable** survival
 - Patient focus “How long do I have, doc?”
 - Clinical focus Value of one treatment vs. another
- Population - survival **achieved**
 - Impact of cancer control initiatives (across the spectrum of initiatives)
 - Targeting and monitoring cancer control initiatives
 - Policy-setting
 - Effectiveness of healthcare delivery - standard measure of cancer system performance




Population-based Cancer Survival

Why are there variations in cancer survival?*

- Timely diagnosis and good prognosis ...
 - Stage of cancer at diagnosis
 - Screening (availability, access and participation)
 - Diagnostic access
 - Public’s awareness of cancer symptoms
 - Types of cancer/disease diagnosed (aggressive variants)
- Appropriate treatment ...
 - Equitable access to treatment
 - Implementation of best practices (use of practice guidelines)
 - Organization of treatment services (timeliness, smooth transition)
- Access to healthcare (insurance) and human and financial resources

*Adapted from Coleman MP: Opinion: why the variation in breast cancer survival in Europe? [commentary]. <http://breast-cancer-research.com/vol1no1/07oc09/editorial/>




Population-based Cancer Survival

- Crude survival:
 - ... how many individuals diagnosed with cancer are alive after five years?
 - ... endpoint is death from any cause
- Cause-specific survival:
 - ... how many individuals diagnosed with cancer after xx years?
 - ... endpoint is death from cancer only
- Relative survival:
 - ... compares the survival experience of individuals with cancer to individuals without cancer (of the same age, race, gender, etc.) *
 - ... measure excess mortality among cancer patients
 - ... endpoint is death from any cause


* Life tables

Both Cause Specific and Relative are a way of comparing survival of people who have cancer with those who don't— they shows how much cancer shortens life



**Advantages and Disadvantage
of Relative vs. Cause Specific Survival**


	Advantage	Disadvantages
Relative	Relies on fact of death not cause of death Enables estimation of avoidable deaths (excess mortality)	Life tables may not be available for all populations
Cause Specific	Not limited to populations with life tables	Death Certificates are not reliable (e.g., site of mets or recur)



**Population-based Survival -
Focus on Relative Survival (Example)**

Suppose that in a jurisdiction far, far away ...

Five-year survival is 60% for women aged 15-99 diagnosed with breast cancer
but
Five-year survival is only 80% for women in general
then
Relative survival is 60% / 80% or 75%.




Additional advantages of Relative Survival*

- Answers the question “how much is [my/my patient’s] survival decreased as a result of a cancer diagnosis?”
 - speaks directly to **excess mortality** among cancer patients¹
- Adjusts for increasing “background” mortality in a population
 - accounts for the fact that our risk of death increases as we age, whether we have cancer or not
- Adjusts for differences in “background” mortality between populations
 - allows assessment of differences in cancer survival between populations that might have large variations in mortality generally² (e.g., racial/ethnic differences, international comparison, etc.)

*Adapted from Rachel B. Woods LM, Mitty E, Riga M, Cooper N, Quinn MJ, Steward J, Brenner H, Esteve J, Sullivan R, Coleman MP. Cancer survival in England and Wales at the end of the 20th century. *Br J Cancer* 2008; 99, S2 – S10.

¹Esteve J, Benhamou E, Croasdale M, Raymond L. Relative survival and the estimation of net survival: elements for further discussion. *Stat Med*. 1990;9:529-538.

²Micheli A, Baili P, Mugno E, Queen M, Capocaccia R, Grosclaude PC, EURO CARE Working Group. Life expectancy and cancer survival in the EURO CARE-3 cancer registry areas. *Ann Oncol* 2003; 14(Suppl 5): 28-40.



Relative survival: cohort and period approaches

- The basic cohort method¹⁻³
 - Uses everyone diagnosed with cancer in the past, who has had sufficient follow up time
 - Traditional approach to survival statistics; reflect the survival expectations of patients diagnosed many years ago (i.e., everyone in the cohort must have had five years of follow up)

¹Berkson J, Gage RP. Calculation of survival rates for cancer. Proc Staff Meet Mayo Clinic 1950;25:270-286.

²Cutler SJ, Ederer F. Maximum utilisation of the life table method in analyzing survival. J Chron Dis 1958;8:699-712.

³Ederer F, Axtell LM, Cutler SJ. The relative survival: a statistical methodology. Natl Cancer Inst Monogr 1961;6:101-121.



Relative survival: cohort and period approaches

- The Period approach¹
 - Provides more 'up-to-date' estimates of long-term survival rates, incorporates the survival experience of recently diagnosed cases into the analysis.
e.g., 5-year survival for people diagnosed 2003-2007, with follow-up to the end of 2007
 - 1-year estimate will include the 1-year survival experience of people diagnosed in 2003-2007
 - 2-year estimate will include the survival experience for people diagnosed in 2003-2006
 - 3-year estimate will include 2003-2005 follow-up,
.... And so on.

¹Brenner H, Gefeller O. An alternative approach to monitoring cancer patient survival. Cancer 1996;78: 2004-2010.



Relative survival estimates: still evolving

- One primary or multiple primaries
- SEER vs. IARC rules for multiple primaries




**A Tale of Two Studies, Two Countries
and Action Plans**

EUROCARE Studies

- U.K. - NHS Cancer Plan
- International Benchmarking Study

CONCORD Study


- High Resolution (Patterns of-care) studies
- The Canadian Strategy for Cancer Control: a cancer plan for Canada
- Canadian Partnership Against Cancer (CPAC)
 - C-SPAN





**EUROpean CANCER REgistry-based study
on survival and care of cancer patients**


- Initiated in Italy (1989)
 - Istituto Nazionale Tumori (Milan)/Istituto Superiore di Sanità (Rome)
 - 12 population-based (European) cancer registries
- Versions ...
 - EUROcare-1 (1978-1984)
 - EUROcare-2 (1978-1989)
 - EUROcare-3 (1983-1994)
 - EUROcare-4 (1988-2002)
 - EUROcare-5 (2000-2007)
- Now includes 93 population-based registries in 23 European countries
- Objective of EUROcare-5: To update the existing EUROcare data bank by including data of patients diagnosed up to 2007. Follow up will be updated to the most recent possible dates in order to analyze both long and short term survival rates of cases diagnosed more recently.



EUROCARE: Findings

- Survival for most solid tumours (breast, colorectal, stomach, cutaneous melanoma) was:
 - highest in Finland, Sweden, Norway and Iceland
 - **lower in the UK and Denmark**
 - lowest in the Czech Republic, Poland and Slovenia
- Countries with higher expenditure on health generally had best survival (exceptions: **Denmark and UK**)
- Survival for Europe lower than for the US for nearly all cancers

Sant M, Allemani C, Santquiliani M, Knijn A, Marchesi F, Capocaccia R, and the EUROCCARE Working Group. EUROCCARE-4. Survival of cancer patients diagnosed in 1995-1999. Results and commentary. Eur J Cancer 2009;45:931-991.





Survival gap for UK cancer patients

The UK is worse than most of its European neighbours when it comes to cancer survival rates, a study has found.

Only eastern European countries fare worse in the league tables published on Thursday.

The Eurocare study revealed that France and Austria have the best five-year survival rates - and that Poland has the worst.

Cancer survival is heavily influenced by factors such as the speed the cancer is diagnosed, and the treatments available to patients, say experts.

Early findings from the study, which looked at countries from Scandinavia to eastern Europe, were presented to the European Cancer Conference in Copenhagen.

The researchers analysed data from 22 countries, covering 42 kinds of cancer.

It looked at five-year survival in 1.8m adult cancer sufferers and 24,000 children diagnosed between 1990 and 1994 and followed until 1999.

In overall survival rates for men, England, Scotland and Wales were ranked 11th to 13th.

There are fewer cancer specialists in Britain than in comparative countries in western Europe

Professor Michael Coleman, London School of Hygiene and Tropical Medicine

EUROPEAN CANCER CONFERENCE

KEY MESSAGES

- Euro survival rates vary
- Child cancer raises mother's risk
- Stress raises breast cancer risk
- Common cause for child cancers
- Prostate deaths fall by 20%
- Virus causes cervical cancer
- Fast to labor treatment
- Pancreas cancer jab hope

SEE ALSO:

- UK has worst cancer record 02 Jul 02 | Health
- UK cuts cancer deaths 05 Nov 02 | Health
- Europe beating cancer battle? 28 Jul 03 | Health

RELATED INTERNET LINKS:

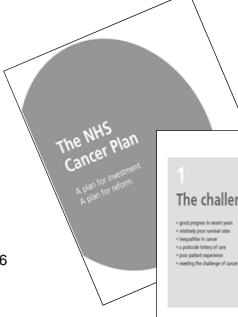

- ECCC conference
- Department of Health
- The BBC is not responsible for the content of external internet sites

TOP HEALTH STORIES

- Grandparents' boost obesity risk
- Gene due to early dementia found
- Herpes drug delays HIV illness
- News feeds

"If the survival rates among the poorest matched those among the richest in England and Wales, 12,700 untimely deaths could have been prevented amongst those diagnosed between 1986 and 1990."

'Challenging cancer', Dept of Health, May 1999


The challenge of cancer

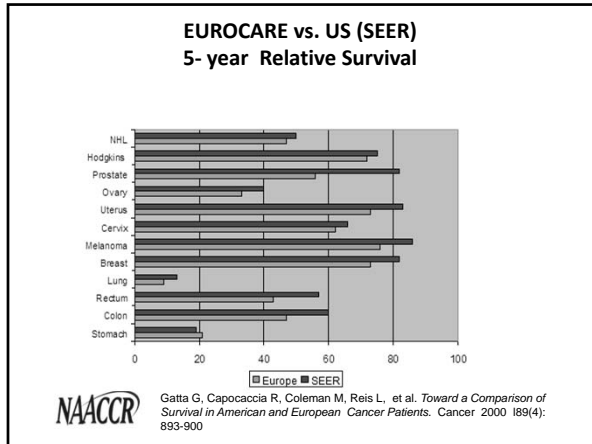
- progress to meet aims
- identify your national role
- commissioning
- practice delivery of care
- care pathways
- meeting the challenge of cancer

11. The five-year survival rate for breast cancer has risen from 45% in 1986 to 70% in 2006. This is due to a combination of factors including earlier diagnosis, better treatment and improved follow-up care.

Progress so far

12. The five-year survival rate for breast cancer has risen from 45% in 1986 to 70% in 2006.





CONCORD study: cancer survival world-wide

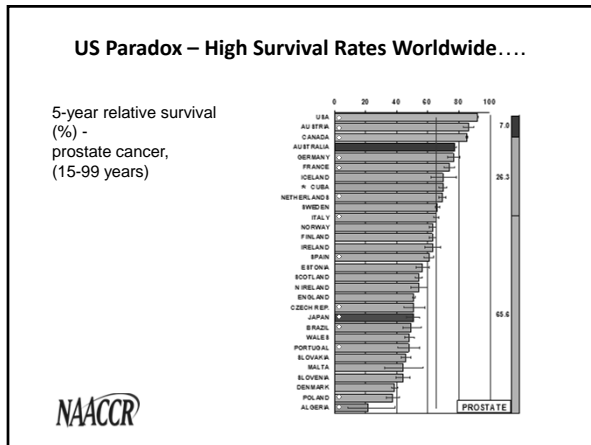
The CONCORD study was planned in three phases:

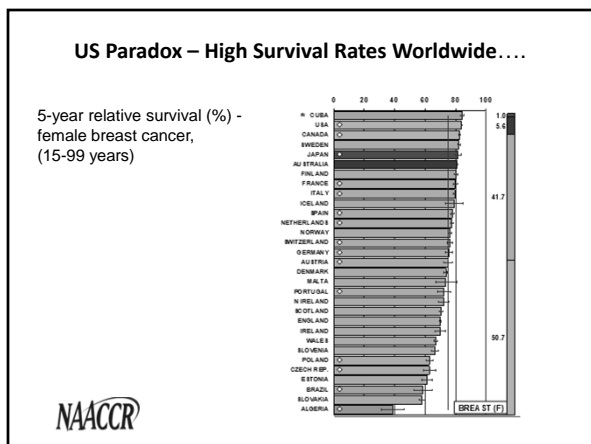
- Phase 1 (low resolution)** was designed to quantify international differences in population-based relative survival by age, sex, country and region for patients diagnosed during 1990-94 with a cancer of the breast, colon, rectum or prostate. The study was published online in *Lancet Oncology* on 17 July 2008.
- Phase 2 (high resolution)** was designed to help interpret those international differences in survival, by using a sub-set of registries that could re-abstract detailed clinical data, including stage at diagnosis and treatment, from the original medical records, for large random samples of patients diagnosed with one of the same cancers during 1996-98. Data collection in a number of US and European registries is complete.
- Phase 3** was designed as a blinded, expert review of the pathological diagnosis for a sub-set of patients from Phase 2, to assess the extent to which international survival differences might be attributable to differences in the pathological definition of disease in participating countries. This study has not yet been funded.

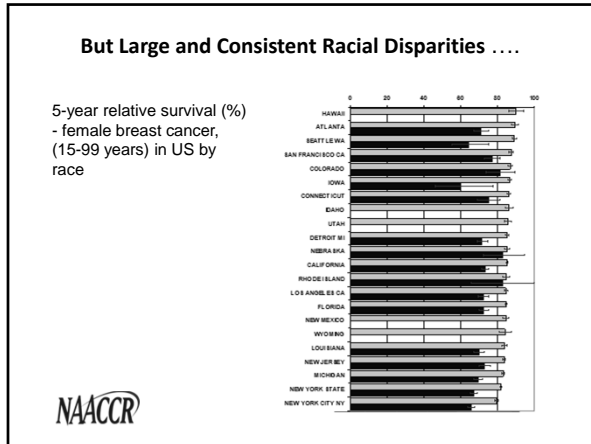
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The screenshot shows the top navigation bar of The Lancet Oncology website. The main article title is "Cancer survival in five continents: a worldwide population-based study (CONCORD)". Below the title, there is a list of authors and their affiliations. The article is dated August 2008. The NAACCR logo is visible in the bottom left corner of the screenshot.







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Breast Cancer

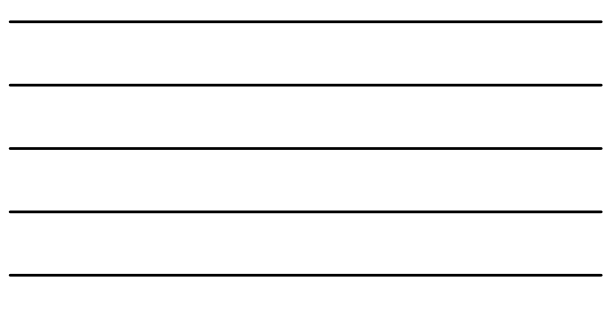
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Economics Determine Cancer Survival Worldwide but Race Matters in U.S.

By Cyndy Phang, Staff Writer, MedPage Today
 Reported by Zohran S. Agaj, MD, Executive Professor
 University of Pennsylvania School of Medicine

LONDON, July 10 - Cancer survival rates differ widely around the world, primarily along economic lines but notably in the U.S., according to the first broad global comparison. The new research opens the way for cancer prevention and control. "Global health care needs research is critical to both America and the rest of the world," said Dr. Agaj.

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Home » October 25, 2008 - Volume 30 - Issue 20 » Epidemiologist Calls Health Systems & Resources Key to Cancer...

ONCOLOGY TIMES
 25 October 2008 - Volume 30 - Issue 20 - p 26-27
 doi: 10.1097/OT.0D0000000000038517.0

Epidemiologist Calls Health Systems & Resources Key to Cancer Survival

GOODWIN, PETER
 BIRMINGHAM, UK: Public and professional enthusiasm should be prioritized more towards the resources and organization of health care, rather than the "haze" of specific new treatments, few of which could hope to achieve the level of improvement in cancer survival that hard evidence shows can be brought about by improving the overall cancer management landscape. So said Michel Coleman, MD, MSc, Professor of Epidemiology and Vital Statistics at the London School of Hygiene and Tropical Medicine, speaking here at the National Cancer Research Institute (NCRI) Cancer Conference.

Figure. MICHEL COLEMAN.
 Image Tools

Dr. Coleman began by describing the reactions of British cancer experts to results from a series of big international studies showing an unexpected survival deficit despite the universal availability of care through Britain's National Health Service. In 1995 when the first international comparison of cancer survival, the European Study, was published, with the

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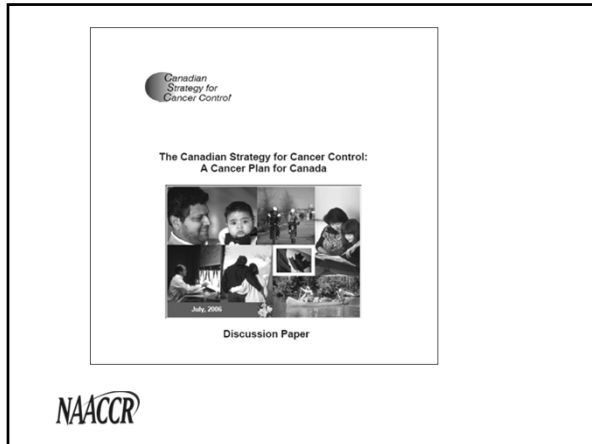
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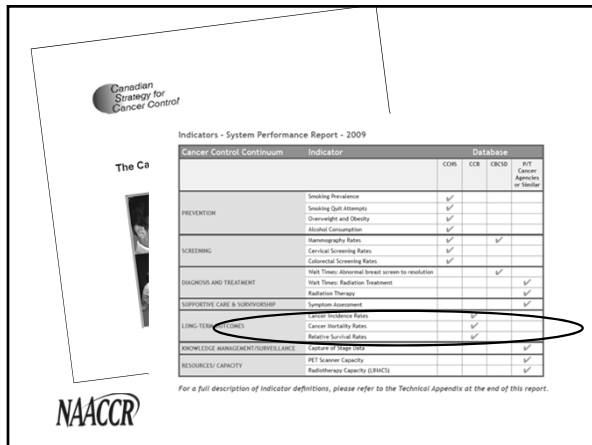
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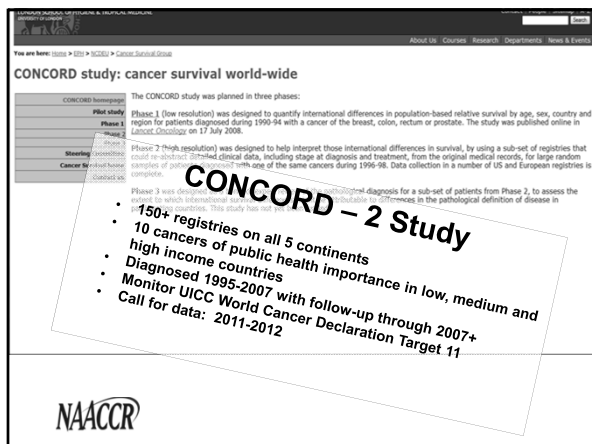
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Interesting cancer survival websites (check it out)

- EURO CARE: www.eurocare.it
- Paul Dickman (www.pauldickman.com) (Sweden)
- International Agency for Research on Cancer (IARC) <http://www.iarc.fr/>
- UK Cancer Survival Group: www.lshtm.ac.uk/ncdeu/cancersurvival/
- SEER: www.seer.gov/cancer
- Statistics Canada: www.statcan.gc.ca/
- Canadian Partnership Against Cancer: www.partnershipagaincancer.ca
- Portal: CancerViewCanada: www.cancerview.ca



Death Clearance

Key Component to Developing Survival Statistics




Objectives

- Describe Death Clearance
- Function of Death Clearance
- Importance to Survival Analysis
- References



Death Clearance Process


- Identify Death to Cancer Patients
 - Link to Mortality Files
 - Update Vital Status
 - Identify Missed Cases
 - Unreported Patient
 - Unreported Multiple Primary
- Follow Back Unlinked Cancers
 - Confirmation of Condition
 - Residence at Diagnosis
 - Case Details




Death Clearance in Canada

- Local (provincial) death clearance

- National Statistics Canada



Example: Saskatchewan Death Clearance



Why Death Clearance

- Originally developed by NCI-SEER
 - Avoid unnecessary patient follow up
 - Establish vital status
 - Identify unreported cancer cases
- Critical to Survival Statistics
 - Key for Active and for Passive Follow Up
 - Reduce follow up cost for active follow up
 - Substitute for active follow up if passive
 - Must combine with NDI, SSDI or other
 - Identifies *biased* group of unreported case
 - Without death clearance will overstate survival



**What about Cancer Types for....
Death Certificate First Cases?**

Site	Cases	Death 1st	% Death 1st
Female Breast	86,206	2,328	2.7
Prostate	82,084	2,184	2.7
Colorectal	56,366	4,446	7.9
Lung	74,045	15,818	21.9
Pancreas	11,278	3,708	32.9
Esophagus	5,469	943	17.2

Michigan Resident Cases Diagnosed between 1994-2003
Death certificate first is a case first identified through death clearance.



What aboutStage at Diagnosis?

Site	Late Stage	
	All Cases	Death 1st
Female Breast	22.1	31.6
Prostate	11.7	23.1
Colorectal	47.1	55.5
Lung	63.9	61.5
Pancreas	68.2	58.2
Esophagus	47.2	50.0

Michigan resident cases diagnosed between 1994 and 2003
with regional or distant stage at diagnosis



What aboutdemographics?

Percent Death Certificate 1st by age and Race

Site	Percent by Age		Percent by Race	
	65 and Younger	Over 65*	Black	White
Female Breast	1.1	4.7	2.7	2.6
Prostate	1.0	7.7	2.7	2.7
Colorectal	4.7	9.5	8.1	7.9
Lung	17.1	58.9	19.6	21.6
Pancreas	25.8	36.5	28.8	33.8
Esophagus	14.7	19.1	18.9	17.0

* Over 75 for prostate cancers

Michigan resident cases diagnosed between 1994 and 2003



Death Clearance is Required

- Required by NPCR
- Required by NCI/SEER
- NAACCR Standard Requirement
- Necessary for NAACCR Certification
 - Completed within 23 months



Death Clearance is Required

- NAACCR Standard I.B.9
 - Must
 - Be able to perform mortality linkage
 - Have adequate staff for follow back
 - Should
 - Establish formal agreement with vital records
 - Track progress and results
 - Follow back on potential multiples
 - Provide quality control feedback
 - Identify case-finding issues



**What have you done
..... when you are done?**

- Confirmed Vital Status
 - Nearly all deceased patients
 - 97% of Deaths to Cases in Michigan
 - Provide vital status updates to reporters
- Acquired Critical Missed Cases
 - Poor Prognosis
 - Tend to be Older
 - Geographically Biased
- Assured Most Accurate Surviv
 - Prompt improved reporting of clinical diagnoses



References

- NAACCR Death Clearance Manual
 - <http://www.naaccr.org/StandardsandRegistryOperations/RegOpsGuidelines.aspx>
- NAACCR Standards Vol. 3 – pp 20-21
 - <http://www.naaccr.org/StandardsandRegistryOperations/VolumeIII.aspx>
- SEER Data Management System – Chapter 17
 - <http://www.seer.cancer.gov/seerdms/manual/>



Best Practices for Developing and Working with Survival Data:


NDI Linkages: What they are and why they matter.

Monique Hernandez, PhD
Chris Johnson, MPH
Brad Wohler, MS




Outline

- Brief overview of NDI linkages.
 - For more detailed information, see <http://www.naaccr.org/AboutNAACCR/TownMeetings.aspx>
 - <http://www.cdc.gov/nchs/ndi.htm>
- Examples of impact of NDI linkages on population-based survival measures.
 - CONCORD
 - Florida Cancer Data System NDI Linkage and Survival Project
 - Accuracy of Cancer Mortality Study
 - California, Colorado, Idaho

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Thanks!


Lyn Almon, Georgia Comprehensive Cancer Registry
Chris Johnson, Cancer Data Registry of Idaho
Robert Bilgrad, National Death Index
Glenn Copeland, Michigan Cancer Surveillance Program
Monique Hernandez, Florida Cancer Data System
Colleen McLaughlin, New York State Cancer Registry
Hannah Weir, Centers for Disease Control and Prevention
Brad Wohler, Florida Cancer Data System

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National Death Index

- The National Death Index (NDI) is a centralized registry maintained by the National Center for Health Statistics of all deaths that have occurred in the United States, Puerto Rico, and the Virgin Islands since 1979.



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National Death Index - Purpose

- Identifies deceased study subjects
- Provides the following:
 - dates of death
 - states of death
 - death certificate numbers

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National Death Index - Coverage

- All 50 states, District of Columbia, NYC, Puerto Rico, & Virgin Islands
- 65 million NDI records
- All deaths from 1979-2008
- 2009 deaths expected July 2011

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NDI PLUS

- Implemented in 1997
- Provides researchers with
 - Underlying cause of death codes
 - Multiple cause codes
 - ICD-9 and ICD-10 codes

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Death Clearance Safety Net

- Late cases
 - Cancer registry
 - Vital Statistics
- Missed cases
 - Out of state

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National Death Index - Process

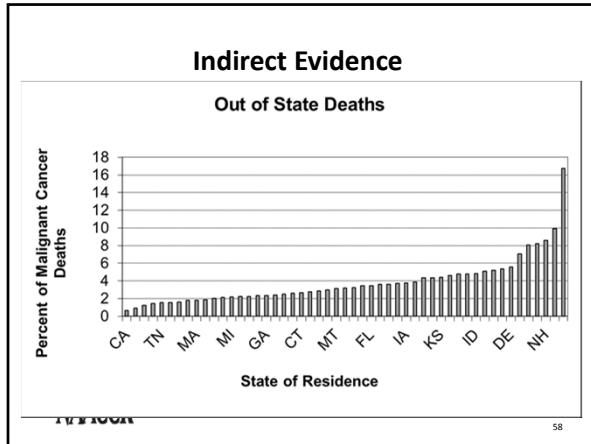
- Select candidate records for submission to NDI – unknown vital status
- Run EDITS, Inter-Record Edits
- Cut file using NPCR Extract utility
- Complete forms and submit them with data
- <NDI processes file>
- Receive results from NDI
- Process results using SAS algorithm available from NPCR docserver
- Manual review component
- Update central registry database with NDI results
- Data sharing with other states

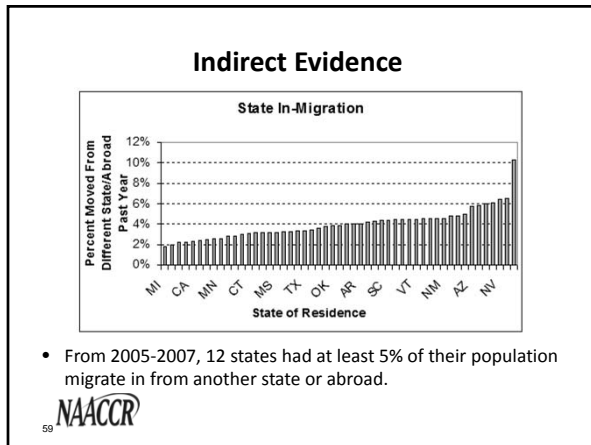
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Evidence

- Indirect
- CONCORD
- Florida
- ACM

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Indirect Evidence

- Americans very mobile
- May change residence state after dx
- Don't always die in their state of residence.
- Death missed during death ascertainment
 - ↓ event count for survival calculations (numerator)
 - ↑ increase in follow-up time (denominator).

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CONCORD

- Cancer survival in five continents: a world-wide population-based study
 - British Columbia, Manitoba, Nova Scotia, Ontario, Saskatchewan
 - California, Colorado, Connecticut, Florida, Georgia - Atlanta SEER, Hawaii, Idaho, Iowa, Louisiana, Michigan, Nebraska, New Jersey, New Mexico, New York, Rhode Island, Utah, Washington - Seattle SEER, Wyoming

- In the U.S., NDI linkages were required.
 - NDI Plus not conducted – no cause of death information, so data not useful for cause-specific survival.



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CONCORD NDI Results (Partial)

Results of CONCORD NDI Match Resolution Algorithm							
State:	Michigan	Idaho	NYS	Nebraska	CA	Colorado	Florida
Unknown status	31,471	6,263	91,497	9,027	42,377	16,198	105,575
Matches returned	56,042	9,774	209,615	11,446	88,396	25,701	282,106
True matches	1,131	444	5,907	166	3,364	191	7,690
True Match %	3.6%	7.1%	6.5%	1.8%	7.9%	1.2%	7.3%



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CONCORD

- States submitted some cases above and beyond those sites required for the CONCORD study.

- In both Idaho and Florida, about 25% of the total NDI matches were among in-state deaths.
 - In Florida, 12% of total NDI matches were NY deaths.
 - In Idaho, 28% of total NDI matches were WA deaths.




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Evidence From CONCORD

Increase in Percent of CONCORD Cases Deceased


State	Prostate + NDI	Breast (Female) + NDI	Colorectal (Male) + NDI	Colorectal (Female) + NDI	CONCORD Total + NDI
California	1.6%	1.1%	1.7%	1.6%	1.4%
Colorado	0.7%	0.4%	1.2%	0.8%	0.7%
Florida	4.5%	3.4%	5.3%	5.0%	4.3%
Idaho	0.8%	0.6%	1.0%	1.4%	0.8%
Michigan	2.4%	1.7%	2.0%	2.0%	2.1%
New York State	2.6%	2.1%	2.7%	2.8%	2.5%
PCR CONCORD Avg	1.5%	0.8%	1.6%	1.9%	1.2%



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Florida Cancer Data System NDI Linkage and Survival Analysis Project


- 1981 - 2005
- 1,115,558 records submitted to NDI



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FCDS NDI Linkage and Survival Analysis Project: Review NDI Results

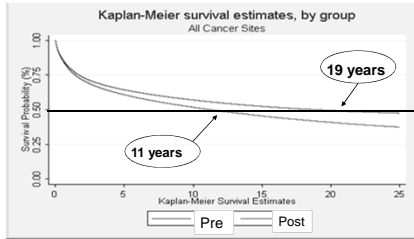
- Bottom Line
 - Vital status changed to deceased = 125,648 patients
 - Affecting 147,211 tumors
- Death clearance safety net = 9,854
- Remaining 115,794 died out of state
 - FL VS does not re-release info on FL residents who died out of state



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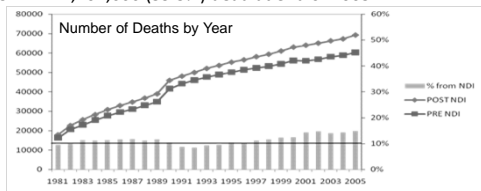
**FCDS NDI Linkage and Survival Analysis Project:
Kaplan-Meier Survival Curve**

- Median survival time: The time at which exactly half of the population has survived



FCDS NDI Linkage and Survival Analysis Project

- 1981-2005 there were 2,020,387 people DX with >= 1 tumor in FL
- PRE NDI: 1,076,018 (53.5%) dead at end of 2005
- POST NDI: 1,201,666 (59.5%) dead at end of 2005



**FCDS NDI Linkage and Survival Analysis Project:
Percent Patient Survival Pre and Post at Time T in Years**

Survival Estimates										
years	All		Lung		CRC		Prostate		Breast	
	pre	post	pre	post	pre	post	pre	post	pre	post
5	64	61	28	21	70	66	90	89	88	86
10	57	52	24	16	65	60	83	79	81	78
15	52	46	23	14	64	58	77	70	77	72
20	49	41	22	13	63	57	73	62	74	68


Site with most impact: lung and bronchus cancer
Site with least impact: Breast cancer

Greater than 5% difference




Accuracy of Cancer Mortality Study

- What it is?
- California, Colorado, Idaho
- The Impact of National Death Index Linkages on Population-Based Cancer Survival Rates.
 - A separate data collection effort merged cancer registry data to the National Death Index (NDI) to find deaths that occurred out of state and to obtain cause-of-death information for these deaths.
 - State vital statistics linked deaths were thus augmented with linkages to the National Death Index (NDI).

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Accuracy of Cancer Mortality Study

- The Impact of National Death Index Linkages on Population-Based Cancer Survival Rates
- We investigated the impact on 5-year cancer survival rates of performing the NDI linkage component of the ACM study.
 - 1993-1995 cases with linkages to state vital statistics and NDI through 2004
 - Measured the impact of NDI linkages on cause-specific and relative cancer survival statistics.

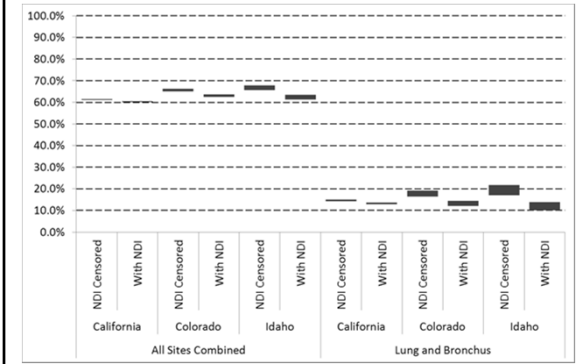
 71

Accuracy of Cancer Mortality Study
Impact of NDI Linkages on Survival Statistics

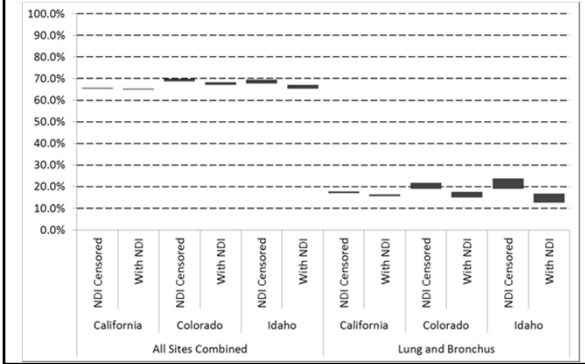
- Two datasets created:
- One dataset included deaths ascertained through state vital records linkages augmented with deaths ascertained through NDI linkages.
- The second dataset included only deaths ascertained through state vital records linkages;
 - all NDI deaths were censored at the end of the study period (vital status alive as of Dec 31, 2004), as if the NDI linkages had not been performed.

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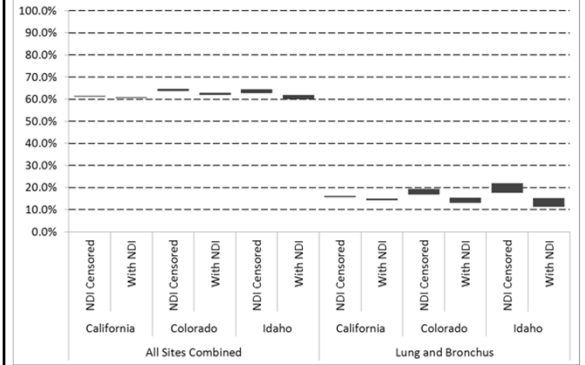
**ACM - Impact of NDI Linkages on Survival Statistics
5-Year Relative Survival**



**ACM - Impact of NDI Linkages on Survival Statistics
5-Year Cause-Specific Survival, Narrow Definition**



**ACM - Impact of NDI Linkages on Survival Statistics
5-Year Cause-Specific Survival, Broad Definition**



Conclusions

- Annual linkage of central cancer registry data with NDI data is highly recommended.
- Death clearance safety net
- Access to info on state residents who die out of state
- Access to info on cases who move out of state after dx
- Fee support via CDC or NCI
- The benefits of NDI linkage include improved follow-up for more accurate survival statistics



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Thanks!

Lyn Almon, Georgia Comprehensive Cancer Registry
 Chris Johnson, Cancer Data Registry of Idaho
 Robert Bilgrad, National Death Index
 Glenn Copeland, Michigan Cancer Surveillance Program
 Monique Hernandez, Florida Cancer Data System
 Colleen McLaughlin, New York State Cancer Registry
 Hannah Weir, Centers for Disease Control and Prevention
 Brad Wohler, Florida Cancer Data System



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Best Practices for Developing and Working with Survival Data:

Data Quality for Survival Analysis


Contributors/Presenters: Katherine Fradette, Deborah Hurley, Hannah Weir, Donna Turner



**Data Quality for Survival:
Two Main Considerations**

1. The quality of information about the cases
 - Missing, incomplete or poor quality reporting of cases can lead to a biased picture of survival


2. The quality of the death data
 - Missing, incomplete or poor quality reporting of death information can also lead to a biased picture of survival (usually over-estimation)



Input Quality Affects Output Quality

For each regional registry included in analysis, the quality and comprehensiveness of information about cases and deaths is of primary importance

- Type of follow-back
- Routine data quality checks and clean-up
- Coding Rules
- Death related information




Type of Follow-Back: Sources

Active follow-back (medical records)

- Cancer Registry Initiated
 - Contact physician or reporting hospital
 - National, State or Province data exchange agreements
- Hospital/Physician Office Initiated
 - Data sharing agreement with CCR or VR

Passive follow-back (data linkages)

- Regional Vital Records
- National Death Index (US only)
- Social Security Death Index (US only)
- Canadian National Death Clearance (Canada only)



Data Quality Checks and Clean-Up

- Data linkage quality control
 - Manual review
 - NDI SAS utility program
 - Other data linkages
 - Voter registration
 - Health insurance data
 - Hospital discharge data
 - Government offices (motor vehicle, public safety, taxes, etc.)
- Edits
 - NAACCR/SEER/NPCR edit set
 - Survival-specific edit set



Coding Rules

- ICD coded diagnoses and COD are preferable
- Different jurisdictions sometime use slightly different rules for coding multiple primary cancers
- Prior to analysis and quality assessment, registry data can be transformed to a common rule structure for consistency (e.g., the International Agency for Research in Cancer (IARC) rules)



Death Related Information

- Updated vital status
- Date of death (or date last seen)
 - Complete dates are preferable (MDY)
- Accurate and complete COD information
 - Non-missing COD preferable
 - ICD coded COD preferable
 - Primary & underlying COD information preferable



Incomplete Date Information

- If complete dates are not available, imputation solutions can be used to produce an estimated survival time
- Example: C-SPAN mean imputation method
 - Used in the case of missing month or day of death (or diagnosis)
 - A SAS algorithm written by Larry Ellison at Statistics Canada returns an imputed a mean survival time



Incomplete Dates: Mean Survival Imputation

- An exact interval SAS macro with the imputation algorithm is available at: http://www.cancerview.ca/idc/groups/public/documents/webcontent/cspan_intervalmacro.sas
- The imputed value is a function of all potential values and the likelihood of their occurrence
- If either the diagnosis year or the death year is unknown then the survival is undefined
- If the month is missing from a date value then the day is also assumed to be missing




Incomplete Dates: Mean Survival Imputation

- Example 1: If only the **day of death is missing**:
 - If diagnosis and death month and year are the same
 - Imputed survival time is equal to half of the time between the date of diagnosis and the last day of the month of death
 - If diagnosis and death month and/or year are different
 - Imputed survival time is equal to the middle of the month of death (the 15th or 16th, depending on the month) minus the date of diagnosis




**Incomplete Dates:
Mean Survival Imputation**

- Example 2: If the **month and day of death are missing**:
 - If diagnosis and death year are the same
 - Imputed survival time is equal to half of the time between the date of diagnosis and the last day of the year of death (December 31st)
 - If diagnosis and death year are different
 - Imputed survival time is equal to the middle of the year of death (July 2nd) minus the date of diagnosis




Final Data Quality for Survival Analysis

- Final data quality must be specially appraised before survival is calculated using protocols designed to highlight potential areas of error or bias
- To provide a picture of data quality in the survival context, make an inventory of ineligible, eligible and excluded records



Ineligible Records

- Following international protocols, criteria for ineligibility may include:
 - Basal and squamous cell skin cancers
 - Adolescent bone cancers
 - In situ cancers (with the exception of in situ bladder)
 - Tumours of benign or uncertain behaviour



Excluded Records

Following international protocols, criteria for exclusion may include:

- Age (<15 and >99 years at diagnosis)
- Unknown vital status
- Unknown sex
- Sex-site incompatibility
- Unknown year of birth, diagnosis or death
- Invalid sequences of dates
- Records where the diagnosis method was autopsy and the survival time was zero
- Records where the diagnosis method was death certificate only (DCO)
- In the case of first primary tumour analyses, second or subsequent tumours



Quality Assessment of Included Records

- To ensure completeness of the included records, a data quality assessment might involve enumerating:
 - Microscopically confirmed records
 - Records with missing month or day of birth, diagnosis, or death
 - Records where the diagnosis method is autopsy but survival time is greater than zero
 - Records where survival time is zero but diagnosis method is not DCO or autopsy (considered a “true zero survival time”)



Reporting Quality Information

- To provide a comprehensive picture of data quality for survival analysis, the following might be provided by jurisdiction, site, diagnosis period and sex, where applicable:
 - Percentage of ineligible and excluded records
 - A description of completeness of the records retained in survival analyses after exclusions
 - Percentage of all primary records included in survival analyses
 - Percentage of patients where the attained age of the patient was ≥ 100 at the end of the study period



The Cancer Survival and Prevalence Analytic Network (C-SPAN) Experience

- Primary data source: The *Canadian Cancer Registry* (CCR), housed at Statistics Canada
 - A collaboration among Canadian provincial and territorial cancer registries and Statistics Canada
 - Regular data quality edits, de-duplication and death clearance at a national level augment local level efforts
- Funding provided by: The *Canadian Partnership Against Cancer*



Data Quality Results

- Overall, potential quality threats were minimal as measured by the quality protocol for survival analysis just presented
- C-SPAN's rates of DCOs, missing demographic or date information, and microscopic confirmation are remarkably similar to those arising from international studies that have set high quality data standards



Inter-Provincial Differences

- Quality considerations highlighted that higher-level system (inter-provincial) differences must also be considered
- Consistent with previous analyses, Quebec's data were excluded from analyses due to differences in cancer registration practices and issues in determining vital status for Quebec cases in the CCR
- Until recently, the Newfoundland and Labrador (NL) Cancer Registry did not receive information on all death certificates that mentioned cancer
 - Since the situation was recently resolved, NL data were included in analyses and interpreted with caution (consistent with national protocol)



Data Quality Results

- There were 1,600,722 cancer records registered between 1992 and 2006, representing 1,565,425 cancer patients
- 6.1% of the registered records were ineligible, mostly in situ neoplasms, reflecting variations in registry practices - some provinces do not register non-invasive tumours
- Only 2.6% of all eligible patients were excluded and inclusion rates by site were high:

Lung	Colorectal	Breast	Prostate
96.6%	98.2%	99.3%	99.1%



Data Quality Results

- 88.7% of included records were microscopically confirmed. Rates varied by site:

Lung	Colorectal	Breast	Prostate
96.6%	98.2%	99.3%	99.1%

- Most other indicators of potential quality issues showed low rates of occurrence, particularly for missing or questionable death date-related information



A Need to Protect Confidentiality

- To reduce disclosure risk and maintain confidentiality:
 - Percentages were categorized in most cases
 - Any cell count less than 6 and greater than 0 was suppressed
 - One area of particular disclosure risk involved the Territories
 - Required heavy suppression due to small numbers
 - These regional data are only presented in select cases



Best Practices for Developing and Working with Survival Data:

Using SEER*Prep and SEER*Stat to calculate survival statistics.

Chris Johnson, MPH
Epidemiologist
Cancer Data Registry of Idaho



Outline

- The presentation will follow from a NAACCR V12 layout through using SEER*Prep to create a SEER*Stat database, then the calculation of survival statistics in SEER*Stat.
- Brief overview of what needs to be done to prepare data for use in SEER*Prep and SEER*Stat.
- Examples of calculations of more commonly used survival statistics, i.e., observed, relative.



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What is SEER*Stat?


- SEER*Stat is a statistical package created for the analysis of SEER and other cancer databases.
- It was developed by Information Management Services, Inc. in consultation with the SEER Program of the National Cancer Institute (NCI).
- The SEER*Stat statistical software provides a convenient, intuitive mechanism for the analysis of SEER and other cancer-related databases.
- It is a powerful PC tool to view individual cancer records and to produce statistics for studying the impact of cancer on a population.



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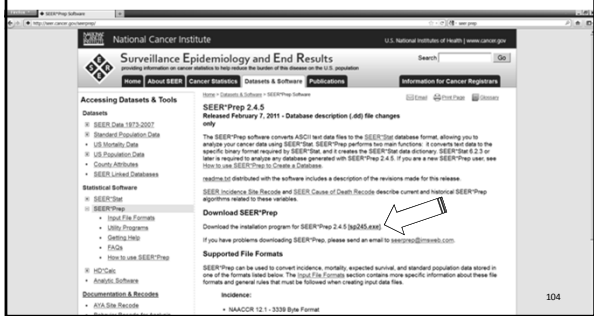
What is SEER*Prep?

- SEER*Prep software converts ASCII text data files to the SEER*Stat database format, allowing you to analyze your cancer data using SEER*Stat.
- SEER*Prep performs two main functions:
 - it converts text data to the specific binary format required by SEER*Stat,
 - and it creates the SEER*Stat data dictionary.



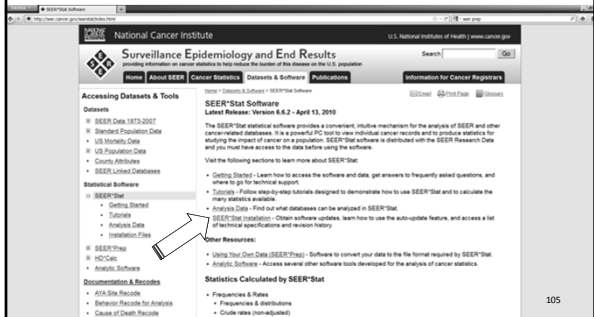
How to obtain SEER*Prep software

- <http://seer.cancer.gov/seerprep/>




How to obtain SEER*Stat software

- <http://seer.cancer.gov/seerstat/>



Types of survival statistics available in SEER*Stat


- Observed Survival
 - Estimate of the probability of surviving all causes of death.
- Net Survival
 - (policy-based statistic) - The probability of surviving cancer in the absence of other causes of death. It is a measure that is not influenced by changes in mortality from other causes and, therefore, provides a useful measure for tracking survival across time, and comparisons between racial/ethnic groups or between registries.
- Conditional Survival
 - Given survival to some number of years, what is the probability of surviving some additional number of years.
- Crude Probability of Death
 - (patient prognosis measure) - The probability of dying of cancer in the presence of other causes of death.
- Survival Case Listing



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Approaches to estimation of cancer-specific survival


- There are two ways to estimate Net Cancer-Specific Survival:
 - using cause of death information
 - or using expected survival tables.



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Net cancer-specific survival


- Cause-specific survival
 - Estimates are calculated by specifying the cause of death. Individuals who die of causes other than those specified are considered to be censored.
- Relative survival
 - Uses population life tables to estimate expected survival. Relative survival is defined as the **ratio** of the proportion of observed survivors (all causes of death) in a cohort of cancer patients to the proportion of expected survivors in a comparable cohort of cancer-free individuals.
 - Assumes independent competing causes of death. Since a cohort of cancer-free individuals is difficult to obtain, we use expected life tables and assume that the cancer deaths are a negligible proportion of all deaths.



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Overview of SEER*Stat


- SEER*Stat allows you a great deal of freedom to request the cancer statistics/values/methods you want for your analysis.
- Part 1: Session
- Part 2: Execute
- Part 3: Matrix



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Overview of SEER*Stat


- Part 1: Session
- The analysis is set up in the session window. Each session consists of tabs on which you select the database subset, statistics, and appearance of your output matrix.
- You should work through each tab in order from left to right and from top to bottom to ensure that all options have been considered.
 - However, changes can be made in any order.
 - It is possible to work on multiple sessions simultaneously.



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Overview of SEER*Stat


- Part 2: Execute
- Once the session is set up, you are ready to execute it as a job.
- While the job is executing, you can change the session or begin a new one without affecting the original job.
- It is possible to execute more than one job at a time.



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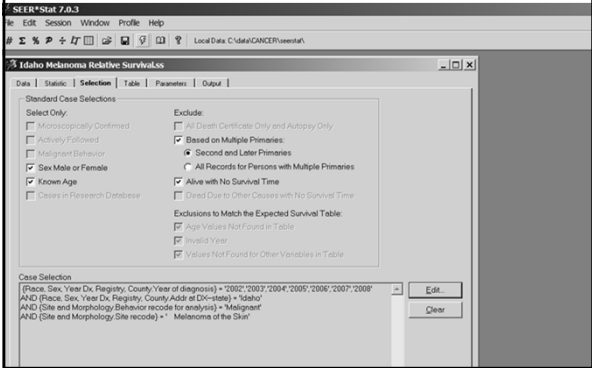
Overview of SEER*Stat

- Part 3: Matrix
- When the job has finished executing, the output matrix you requested is displayed.
- You can change the appearance of the output matrix, print it, copy it to the Windows clipboard, and/or export the statistics/values so they may be used in another application.

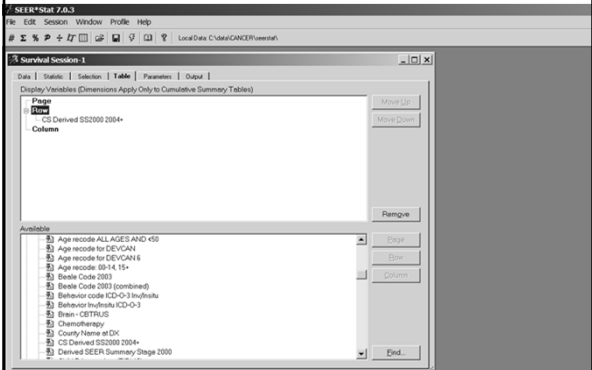


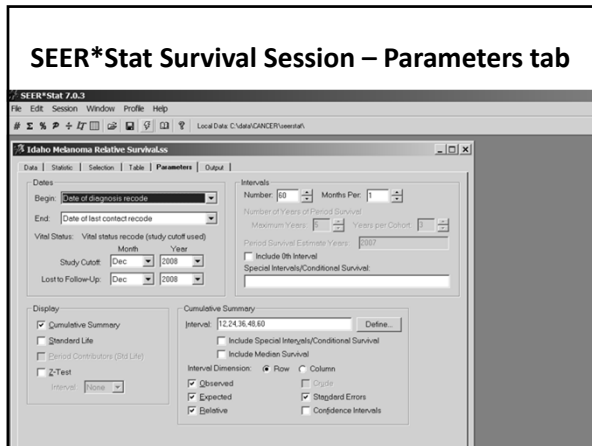
121

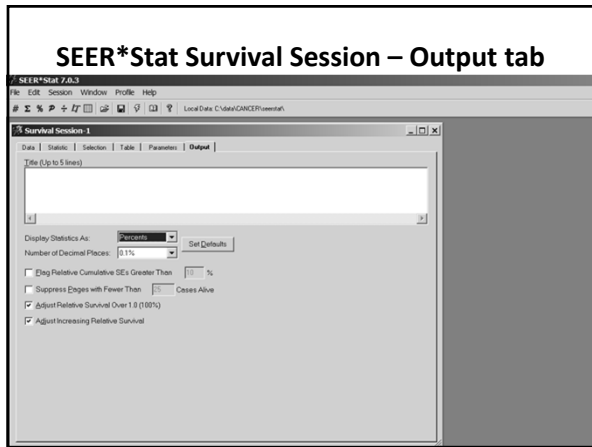
Dataset used for SEER*Stat examples

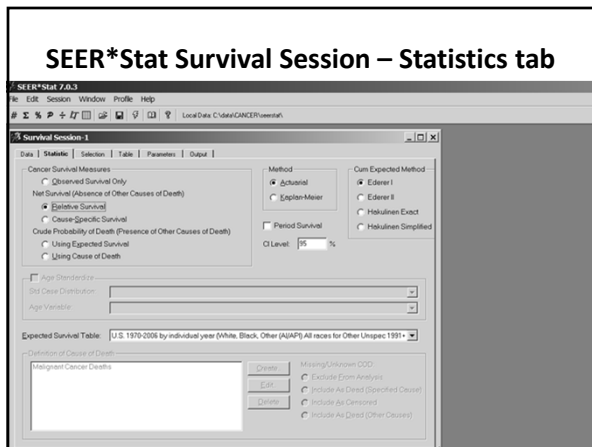


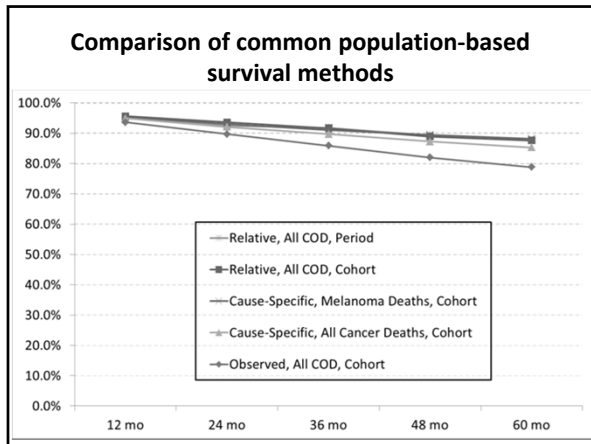
SEER*Stat Survival Session – Table tab












Conclusions: SEER*Stat

- Advantages of SEER*Stat over other statistical tools:
- Simple to use GUI
- Facilitates comparisons with SEER data
- Can paste results into other Windows programs
- SEER/NCI is responsible for keeping it updated and standardized
- Well supported by IMS




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Summary

Using SEER*Prep and SEER*Stat to calculate survival statistics.

- The presentation followed a NAACCR V12 layout through SEER*Prep to create a SEER*Stat database, then demonstrated the calculation of survival statistics in SEER*Stat.
- Brief overview of what needs to be done to prepare data for use in SEER*Prep and SEER*Stat.
- Examples of calculations of more commonly used survival statistics, i.e., observed, relative.




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Some issues related to survival

 Hannah Weir, PhD
 Trevor Thompson, BS
 Division of Cancer Prevention and Control
 Centers for Disease Prevention and Control

The findings and conclusions in this presentation are those of the presenters and do not necessarily represent the official position of the Centers for Disease Control and Prevention.




LIFE TABLES



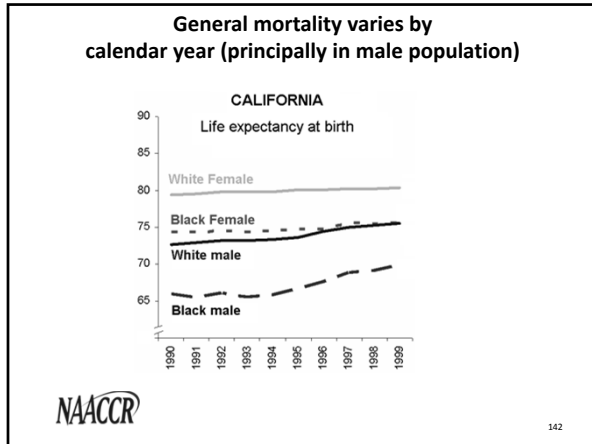
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General mortality varies by area
Life expectancy at birth - all races, 1990-1999

Cancer Registry areas	e_0 Male	e_0 Female
Hawaii	76.11	82.19
Utah	75.78	80.85
Iowa	74.75	80.84
Connecticut	74.56	80.46
California	73.94	79.98
Wyoming	73.83	79.62
New Mexico	73.31	79.98
USA	72.76	79.09



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CONCORD Study - Relative Survival using two LTs

Characteristic	Patient example	NCHS LT*	CONCORD LT
SEX	Male	Male	Male
RACE	Black	Black	Black
YEAR	1996	1990	1996
AREA	Utah	US	Utah

NAACCR * US Census 1990 143

Life expectancy at birth in 1990 – all races

	Male		Female	
	CONCORD	NCHS	CONCORD	NCHS
California	72.7	72.5	79.4	79.2
Connecticut	74.0	73.6	80.2	80.0
Hawaii	75.6	75.4	81.7	81.3
Iowa	74.2	73.9	80.9	80.5
New Mexico	72.6	72.2	79.6	79.3
Utah	75.2	75.0	81.0	80.4
Wyoming	73.3	73.2	79.4	79.3

NAACCR 144


What do we expect in relative survival?

WE KNOW THAT:

- General mortality varies in the period (1990-1999) principally in male population
- General mortality varies by geographical area
- Hawaii is the area with major differences in comparison with the USA (also in female population)

Using CONCORD life tables versus US Census (NCHS) life tables in relative survival estimates WE EXPECT THAT:


- Major differences will be present in male cancer sites
- Hawaii cancer relative survival estimates will have major differences



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**5-yr crude relative survival
Male colorectal cancer - all races**


Areas	# Cases	NCHS LT (1)	CONCORD LT (2)	Difference (2) - (1)
California	30,379	63.0%	61.1%	- 1.9%
Connecticut	4,559	63.6%	61.5%	- 2.1%
Hawaii	1,493	69.6%	65.7%	- 3.9%
Iowa	4,043	61.6%	60.1%	- 1.5%
New Mexico	1,335	60.9%	59.0%	- 1.9%
Utah	1,258	64.5%	61.4%	- 3.1%
Wyoming	357	57.7%	56.7%	- 1.0%



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**5-yr crude relative survival
Female colorectal cancer- all races**


Areas	# Cases	NCHS LT (1)	CONCORD LT (2)	Difference (2) - (1)
California	29,204	61.7%	61.0%	- 0.7%
Connecticut	4,406	63.0%	61.6%	- 1.4%
Hawaii	1,089	68.5%	66.2%	- 2.3%
Iowa	4,519	66.1%	64.4%	- 1.7%
New Mexico	1,214	61.9%	60.7%	- 1.2%
Utah	1,096	60.5%	59.6%	- 0.9%
Wyoming	391	59.1%	58.6%	- 0.5%



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5-yr crude relative survival
Female breast cancer- all races

Areas	# Cases	NCHS LT (1)	CONCORD LT (2)	Difference (2) – (1)
California	82,868	86.3%	85.8%	- 0.5%
Connecticut	11,288	86.2%	85.1%	- 1.1%
Hawaii	2,854	91.2%	89.5%	- 1.7%
Iowa	9,131	87.8%	86.3%	- 1.5%
New Mexico	3,793	85.5%	84.6%	- 0.9%
Utah	3,505	86.3%	85.3%	- 1.0%
Wyoming	1,073	84.3%	83.9%	- 0.4%

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5-yr crude relative survival
Male prostate cancer - all races

Areas	# Cases	NCHS LT (1)	CONCORD LT (2)	Difference (2) – (1)
California	91,613	96.9%	93.5%	- 3.4%
Connecticut	11,306	96.4%	92.8%	- 3.6%
Hawaii	3,480	99.9%	94.1%	- 5.8%
Iowa	10,742	95.2%	92.8%	- 2.4%
New Mexico	5,389	96.6%	93.2%	- 3.4%
Utah	5,777	99.2%	94.2%	- 5.0%
Wyoming	1,551	95.9%	93.9%	- 2.0%

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Recent updates to SEER*Stat (V 7.0.4)

US LT available for individual years 1970-2006
by gender and race (All, W, B and O)



Cancer cause specific survival - an alternatives to relative survival when life tables not available

LT matched to cancer patients according to risk factors (age, calendar period, geographic area and race/ethnicity)
- SES, smoking status, etc.

RS can underestimate or overestimate the actual survival experience when there is a mismatch between the LT and cancer patient cohort (e.g., tobacco related cancers)

Howlader et al, 2010 published broader definition of caused related death variable.



Age Standardized Survival Estimates



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Age-Standardized Survival Estimates

- Survival generally depends on age
- Age distribution among cancer patients may vary across comparison groups
- Standardization is needed to remove the confounding effect of age when comparing survival estimates
- Which standard population should be used?




Commonly Used Standards

- Internal site-specific age distribution of a study
 - Derived from observed age distribution of a specific cancer patient population
- International Cancer Survival Standards (ICSS) standard populations
 - Set of general standard cancer patient populations developed from the EUROCARE-2 study




ICSS Standard Populations

- Consists of three standard populations describing the main age patterns of cancer incidence
 1. Increasing with age (91.1% of EUROCARE-2 patients)
 2. Generally constant with age (7.4%)
 - Nasopharynx, soft tissues, melanoma, cervix uteri, brain, thyroid, bone
 3. Primarily affecting young adults (1.5%)
 - Testis, Hodgkin's disease, acute lymphatic leukemia



Which Standard to Use?

- Site-specific
 - Has the desirable property that age-standardized survival estimates are generally close to the crude survival estimates
 - Does not allow comparisons across sites
 - Does not allow comparisons across studies if internal standards are used



Which Standard to Use?

- ICSS
 - Standardized survival estimates can differ from crude results
 - Allows for comparisons with other sites that use the same standard
 - Allows for comparisons with other studies using ICSS weights



Example – Comparison of Standards

Table 1. 5-Year Relative Survival Estimates by Cancer Site, SEER 1998-2002

Site	N	Crude Relative Survival (95% CI)	Age-Adjusted* Site Specific (95% CI)	Age-Adjusted† ICSS (95% CI)
Colorectal	118,451	64.9 (64.6-65.3)	64.3 (64.0-64.7)	64.9 (64.6-65.3)
Female Breast	172,662	89.3 (89.1-89.5)	89.4 (89.2-89.7)	89.7 (89.5-90.0)
Prostate	190,464	99.6 (99.4-99.8)	99.1 (98.9-99.3)	98.7 (98.5-98.9)
Thyroid	20,415	96.9 (96.5-97.3)	95.8 (95.4-96.2)	93.9 (93.3-94.6)
Melanoma	42,132	91.5 (91.1-91.9)	90.6 (90.0-91.1)	91.3 (90.8-91.7)
Cervix	12,923	71.8 (71.0-72.7)	69.8 (68.9-70.7)	65.5 (64.4-66.5)
Testis	7,851	95.5 (95.0-96.0)	95.2 (94.6-95.8)	90.4 (87.6-93.3)
Hodgkin Lymphoma	7,174	84.1 (83.1-85.1)	80.2 (79.2-81.3)	80.0 (79.0-81.1)

* Age-standardized to the site-specific age distribution of the 2004-2006 USCS.
 † Age-standardized to the appropriate ICSS standard.



Software Considerations

- SEER*STAT currently does not perform age-standardization of relative survival estimates
 - This may be included in future versions
 - Age-specific relative survival estimates can be calculated in SEER*STAT and exported to other packages for standardization
- R and Stata code are available for calculating age-standardized survival estimates and confidence intervals



The “multiple primaries issue”

- One person can have many cancers.
 - Becoming more common scenario as survivorship from cancer increases.
- Multiple primary rules differ (IARC vs. SEER/Canadian)
- Survival statistics have traditionally focused on “first primary”... but this doesn’t include all the information available.
- EUROCARE now including ALL cancers diagnosed.



Reference

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Conclusion




**Overview and Use of
Population-based Survival Data**

- Population-based survival monitors the effectiveness of health care delivery - cancer control and health policy
- Adding survival data enhances the registry
- Canada and NCI/SEER routinely produce these data
- NPCR is now expanding capacity:
 - Currently 26 NPCR/SEER registries link to NDI (62% population coverage)
 - 83% coverage with additional 10 “interested” registries
 - 14 registries ???
- NAACCR Survival Workgroup is addressing issues related to the collection, analysis and interpretation of survival data
- 2011 NAACCR conference – plenary address by Prof Michel Coleman, PI CONCORD (-2) Study




Need for Death Clearance

- Routine DC helps with case ascertainment and provides information on vital status (~97% of deaths MI)



NDI and impact on survival data


- Supplements DC to provide info on ~99% deaths (L Alom)
 - Deaths out of state /residence out of state
 - Identifies duplicate cases (NY-FL dual residences issue, etc.)
- Most deaths found through DC but NDI still critical
- NPCR-NDI umbrella application
- NDI linkage at no additional cost to NPCR/SEER registries
- Tools available to help with NDI output



Data Quality Issues


- Complete case ascertainment and death ascertainment very important*
- Data quality indicators
 - Confidentiality issues related to complete date variables
 - Age is needed for LT
 - Survival interval immediately following diagnosis - impact on long term survival and measures of excess mortality related to treatment
 - Errors vs. non errors
 - Patients with “zero” survival time ???

* Johnson CJ, Weir HK, Yin D, Niu X. Assessment of the impact of variation in patient follow-up on survival statistics using synthetic datasets based on SEER data. JRM 2010; 37(3):96-103.




Tools for calculating survival statistics

- SEER*Prep and SEER*Stat are powerful tools, freely available from NCI/IMS for use in calculating survival data.
- Other stat programs are available for more complex analyses (websites listed)
- Tools are there but some of the supporting data may be lacking
 - In US, availability of State and race/ethnic specific LT is limited
 - Cause of death for cause specific survival is not consistent



Work Remains!!!!

- Dual residence issue
- Multiple primary rules
- Data quality – incomplete vs. suppressed or tweaked data
- Quality of cause of death information on DC
- Availability of supporting information (LT)
- Choice of standard




Eventually we will get to here.....

Nationwide coverage of high quality and complete population-based cancer survival data available for cancer control, health policy and research use.




Questions?

Complete Case Identification and Ascertainment 7/7/11
Joyce Jones
CoC trained Independent Cancer Program Consultant



Coming up...

- July 7, 2011
 - Complete Case Identification and Ascertainment
 - Presented by Joyce Jones
 - *CoC trained Independent Cancer Program Consultant*
- August 4, 2011
 - NAACCR Interoperability Activities and the Electronic Health Record
 - Presented by NAACCR Path Data Workgroup



2011-2012 NAACCR Webinar Series

- Registration is open!
 - <http://www.naacccr.org/EducationandTraining/WebinarSeries.aspx>